



ACOM Policy 103, Attachment A-1 -  
**DISCLOSURE**  
 INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
**OPEN UNTIL 6/21/21**

DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.104 through 106] (SMDL09-001)

Contractor's Legal Name:  
 DBA, if Applicable:  
 Line of Business:  
 Contract Year Ending:

**3.a. Contractor Disclosure of Individuals with Ownership or Control Interest**

No.	Person (A=Agent, P=Partner, OF=Officer, D=Director, ME=Managing Employee, K=Key Staff Personnel)	Legal Name	Personal Address	City	State	Zip Code	Social Security Number	Date of Birth	Related to another person with ownership or control interest (Y/N); Nature of relationship	Percentage of Ownership Interest	Type of Ownership Interest (Direct/Indirect/ Combined)	Related to another person with ownership or control interest in a Subcontractor in which Contractor has 5% or more interest (Y/N); Nature of
1												
2												
3												
4												
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												
15												
16												
17												
18												
19												
20												
21												
22												
23												
24												
25												
26												
27												
28												
29												
30												
31												
32												
33												
34												
35												
36												
37												
38												
39												
40												
41												
42												
43												
44												
45												

DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.104 through 106] (SMDL09-001)

Contractor's Legal Name:  
DBA, if Applicable:  
Line of Business:  
Contract Year Ending:

3.a.2 Contractor Disclosure of Entities with Ownership or Control Interest

No.	Entity (A=Agent, P=Partner, OW=Owner)	Legal Name	Domicile of Incorporation/Organization	Primary Business Address	City	State	Zip Code	Other Business Location Addresses	City	State	Zip Code	P.O. Box Address	City	State	Zip Code	Tax Identification Number(s)	Related to another person with ownership or control interest (Y/N); Nature of relationship	Percentage of Ownership Interest	Type of Ownership Interest (Direct/Indirect/Combined)	Ownership or Control Interest in a Subcontractor in which Contractor has 5% or more interest (Y/N)	Related to another person with ownership or control interest in a Subcontractor in which Contractor has 5% or more interest (Y/N); Nature of relationship		
1																							
2																							
3																							
4																							
5																							
6																							
7																							
8																							
9																							
10																							
11																							
12																							
13																							
14																							
15																							
16																							
17																							
18																							
19																							
20																							
21																							
22																							
23																							
24																							
25																							
26																							
27																							
28																							
29																							
30																							
31																							
32																							
33																							
34																							
35																							
36																							
37																							
38																							
39																							
40																							
41																							
42																							
43																							
44																							
45																							



DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.104]

Contractor's Legal Name:  
 DBA, if Applicable:  
 Line of Business:  
 Contract Year Ending:

5.b. Contractor's Fiscal Agents

No.	Person (I= Individual, E=Entity, A=Agent, ME=Managing Employee)	Legal Name	Personal Address (Individual) or Primary Business Address (Entity)	City	State	Zip Code	Social Security Number (Individual) or Tax Identification Number(s) (Entity)	Date of Birth	Domicile of Incorporation or Organization (Entity)	Related to another person with ownership or control interest (Y/N); Nature of relationship	Percentage of Ownership Interest	Type of Ownership Interest (Direct/Indirect/ Combined)	Ownership or Control Interest in a Subcontractor in which Contractor has 5% or more interest (Y/N)	Related to another person with ownership or control interest in a Subcontractor in which Contractor has 5% or more interest (Y/N); Nature of relationship
1														
2														
3														
4														
5														
6														
7														
8														
9														
10														
11														
12														
13														
14														
15														
16														
17														
18														
19														
20														
21														
22														
23														
24														
25														
26														
27														
28														
29														
30														
31														
32														
33														
34														
35														
36														
37														
38														
39														
40														
41														
42														
43														
44														
45														



ACOM Policy 103, Attachment A-1 -  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
OPEN UNTIL 6/21/21

DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.101]

Contractor's Legal Name:  
DBA, if Applicable:  
Line of Business:  
Contract Year Ending:

3.a. Any other Contractor, Disclosing Entity, Fiscal Agency, or Managed Care Entity

No.	Legal Name
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	



ACOM Policy 103, Attachment A-1 -  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
OPEN UNTIL 6/21/21

DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.101]

Contractor's Legal Name:  
DBA, if Applicable:  
Line of Business:  
Contract Year Ending:

3.a. Any other Contractor, Disclosing Entity, Fiscal Agency, or Managed Care Entity

No.	Legal Name
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	



ACOM Policy 103, Attachment A-1 -  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
**OPEN UNTIL 6/21/21**

**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.101]**

**Contractor's Legal Name:**

**DBA, if Applicable:**

**Line of Business:**

**Contract Year Ending:**

**3.a. Any other Contractor, Disclosing Entity, Fiscal Agency, or Managed Care Entity**

No.	Legal Name
41	
42	
43	
44	
45	



ACOM Policy 103, Attachment A-1 -  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
OPEN UNTIL 6/21/21

This Disclosure is not required with the annual disclosure submission but upon request by AHCCCS.  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL - [42 CFR 455.101]  
Contractor's Legal Name:  
DBA, if Applicable:  
Line of Business:  
Contract Year Ending:

3.b. Business Transactions

No.	Relationship to Contractor (S=Subcontractor, W=Wholly Owned Supplier)	Legal Name	Description of Ownership	Transaction Type (3.b.1 or 3.b.2)	Type of Business Transaction	Date of Transaction	Dollar Amount of Transaction
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							
18							
19							
20							
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							
31							
32							
33							
34							
35							
36							
37							
38							
39							
40							
41							
42							
43							
44							
45							



ACOM Policy 103, Attachment A-1  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**DISCLOSURE INFORMATION: DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF CRIMES - [42 CFR 455.104 through 106; 436] (SMDL09-001)**

**Contractor's Legal Name:**  
**DBA, if Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**3.c. Persons and/or Entities Convicted of Crimes - Excluded Persons/Entities**

No.	Person (A=Agent, E=Entity, P=Partner, OW=Owner, OF=Officer, D=Director, ME=Managing Employee, K=Key Staff Personnel)	Legal Name	Personal Address (Individual) or Primary Business Address (Entity)	City	State	Zip Code	Social Security Number (Individual) or Tax Identification Number(s) (Entity)	Date of Birth	Domicile of Incorporation or Organization (Entity)	Related to another person with ownership or control interest (Y/N); Nature of relationship	Excluded (Y/N)
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20											





ACOM Policy 103, Attachment A-1  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**DISCLOSURE INFORMATION: DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF CRIMES - [42 CFR 455.104 through 106; 436] (SMDL09-001)**

**Contractor's Legal Name:**  
**DBA, if Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**3.c. Persons and/or Entities Convicted of Crimes - Excluded Persons/Entities**

No.	Person (A=Agent, E=Entity, P=Partner, OW=Owner, OF=Officer, D=Director, ME=Managing Employee, K=Key Staff Personnel)	Legal Name	Personal Address (Individual) or Primary Business Address (Entity)	City	State	Zip Code	Social Security Number (Individual) or Tax Identification Number(s) (Entity)	Date of Birth	Domicile of Incorporation or Organization (Entity)	Related to another person with ownership or control interest (Y/N); Nature of relationship	Excluded (Y/N)
21											
22											
23											
24											
25											
26											
27											
28											
29											
30											
31											
32											
33											
34											
35											
36											
37											
38											
39											
40											
41											
42											



ACOM Policy 103, Attachment A-1  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**DISCLOSURE INFORMATION: DISCLOSURE OF INFORMATION ON PERSONS CONVICTED OF CRIMES - [42 CFR 455.104 through 106; 436] (SMDL09-001)**

**Contractor's Legal Name:**  
**DBA, if Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**3.c. Persons and/or Entities Convicted of Crimes - Excluded Persons/Entities**

No.	Person (A=Agent, E=Entity, P=Partner, OW=Owner, OF=Officer, D=Director, ME=Managing Employee, K=Key Staff Personnel)	Legal Name	Personal Address (Individual) or Primary Business Address (Entity)	City	State	Zip Code	Social Security Number (Individual) or Tax Identification Number(s) (Entity)	Date of Birth	Domicile of Incorporation or Organization (Entity)	Related to another person with ownership or control interest (Y/N); Nature of relationship	Excluded (Y/N)
43											
44											







ACOM Policy 103, Attachment A-1  
DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL  
**OPEN UNTIL 6/21/21**

Sanctioned (Y/N)	Convicted of Criminal Offense (Y/N)	Date of Conviction	Court where Convicted	Case Number for Conviction



DISCLOSURE INFORMATION - CREDITORS

Contractor's Legal Name:  
 DBA, if Applicable:  
 Line of Business:  
 Contract Year Ending:

3.d. Creditors

No.	Legal Name	Personal Address (Individual)	City	State	Zip Code	Social Security Number	Date of Birth	Domicile of Incorporation/Organization (Entity)	Primary Business Address (Entity)	City	State	Zip Code	Other Business Location Addresses (Entity)	City	State	Zip Code	P.O. Box Address	City	State	Zip Code	Tax Identification Number(s)	Related to a person with ownership or control interest in Contractor (Y/N); Nature of relationship	Description of Debt	Amount of Security	
1																									
2																									
3																									
4																									
5																									
6																									
7																									
8																									
9																									
10																									
11																									
12																									
13																									
14																									
15																									
16																									
17																									
18																									
19																									
20																									
21																									
22																									
23																									
24																									
25																									
26																									
27																									
28																									
29																									
30																									
31																									
32																									
33																									
34																									
35																									
36																									
37																									
38																									
39																									
40																									
41																									
42																									
43																									
44																									
45																									



ACOM Policy 103, Attachment A-1  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**RELATED PARTY TRANSACTIONS**

**Contractor's Legal Name:**  
**DBA, If Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**4.a. Board of Directors**

No.	Legal Name	Personal Address	City	State	Zip Code	Social Security Number	Date of Birth	Related to another person with ownership or control interest in Contractor (Y/N); Nature of relationship
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								



ACOM Policy 103, Attachment A-1  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**RELATED PARTY TRANSACTIONS**

**Contractor's Legal Name:**  
**DBA, If Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**4.a. Board of Directors**

No.	Legal Name	Personal Address	City	State	Zip Code	Social Security Number	Date of Birth	Related to another person with ownership or control interest in Contractor (Y/N); Nature of relationship
17								
18								
19								
20								
21								
22								
23								
24								
25								
26								
27								
28								
29								
30								
31								
32								
33								





**ACOM Policy 103, Attachment A-1**  
**DISCLOSURE INFORMATION: DISCLOSURE OF OWNERSHIP AND CONTROL**  
**OPEN UNTIL 6/21/21**

**RELATED PARTY TRANSACTIONS**

**Contractor's Legal Name:**  
**DBA, If Applicable:**  
**Line of Business:**  
**Contract Year Ending:**

**4.a. Board of Directors**

No.	Legal Name	Personal Address	City	State	Zip Code	Social Security Number	Date of Birth	Related to another person with ownership or control interest in Contractor (Y/N); Nature of relationship
34								